



FORM PTO-1449	SERIAL NO. 10/607,246	CASE NO. 6298/432
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE June 25, 2003	GROUP ART UNIT 3743 3701
	APPLICANT(S): G. Baran	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>afj</i>	F1	2,857,915	10/1958	Sheridan	_____	
	F2	3,370,112	02/1968	Pierre	_____	
	F3	3,561,444	02/1971	Boucher	_____	
	F4	3,634,924	01/1972	Blake et al.	_____	
	F5	5,031,613	07/1991	Smith et al.	_____	
<i>afj</i>	F6	5,313,939	05/1994	Gonzalez	_____	

EXAMINER <i>Clarendon Lenn</i>	DATE CONSIDERED 8/19/06
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
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FORM PTO-1449	APPLICATION NO. 10/607,246	ATTORNEY DOCKET NO. 6298/432
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE June 25, 2003	GROUP ART UNIT 3743 3761
	FIRST NAMED INVENTOR: George Baran	
	EXAMINER NAME: Unknown	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	Foreign Patent Document Country Code, Number -Number- Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/Subclass	T
A.J.L.	E1	JP 05-168714	07/02/1993	Nissho Corp.		Abstract Only
A.J.L.	E2	JP 63-84243	06/02/1988			

NOTE: For "T" – please place an "X" if an English translation is being provided to the Patent Office.

EXAMINER <i>Clarendon Lien</i>	DATE CONSIDERED <i>8/19/06</i>
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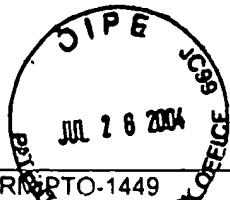


FORM PTO 1449 TRADEMARK	SERIAL NO. 10/607,246	CASE NO. 6298/432
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE June 25, 2003	GROUP ART UNIT 3743-3761
APPLICANT(S): G. Baran		

EXAMINER INITIAL <i>A.J.L.</i>	OTHER ART - NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.) D1 Japanese Unexamined Utility Model Application Publication No. 62-177738, published November 11, 1987.	
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EXAMINER <i>Daren Lai</i>	DATE CONSIDERED <i>8/19/06</i>
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FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 10/607,246	CASE NO. 6298/432 GROUP ART UNIT 3743-3761
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REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
a.d.l.	C1 4,735,620	04/05/1988	Ruiz	—	

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
a.d.l.	C2	Copy of European Search Report for Application No. 0400833.47 dated May 19, 2004

EXAMINER <i>Baran Lien</i>	DATE CONSIDERED <i>8/19/06</i>
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TRADEMARK PTO-1449

SERIAL NO.	10/607,246	CASE NO.	6298/432
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE	GROUP ART UNIT	
(use several sheets if necessary)	June 25, 2003	3743 -3761	
APPLICANT(S): G. Baran			

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>alj</i>	B1 442,785	12/1890	Schoettl	—	—
	B2 606,240	06/1898	Prescott	—	—
	B3 790,318	05/1905	Sams	—	—
	B4 817,819	04/1906	Walkup	—	—
	B5 970,576	09/1910	Trautmann	—	—
	B6 1,786,394	12/1930	Tracy	—	—
	B7 1,990,824	02/1935	Gustafsson	—	—
	B8 2,019,941	11/1935	Tracy	—	—
	B9 2,029,423	02/1936	Gustafsson	—	—
	B10 2,070,695	02/1937	Tracy	—	—
	B11 2,070,696	02/1937	Tracy	—	—
	B12 2,204,599	06/1940	Jenkins	—	—
	B13 2,235,708	03/1941	Jenkins	—	—
	B14 2,271,779	02/1942	Peeps	—	—
	B15 2,633,908	04/1953	Brierly	—	—
	B16 2,646,314	07/1953	Peeps	—	—
	B17 2,814,530	11/1957	Portillo	—	—
	B18 2,942,790	06/1960	Starkey et al.	—	—
	B19 3,269,389	08/1966	Meurer et al.	—	—
	B20 3,306,289	02/1967	Cameto et al.	—	—
	B21 3,484,044	12/1969	Dombruch et al.	—	—
	B22 3,762,409	10/1973	Lester	—	—
	B23 3,812,854	05/1974	Michaels et al.	—	—
	B24 4,265,237	05/1981	Schwanbom et al.	—	—
	B25 4,273,293	06/1981	Hastings	—	—
	B26 4,495,946	01/1985	Lemer	—	—
	B27 4,502,482	03/1985	DeLuccia et al.	—	—
	B28 4,519,388	05/1985	Schwanbom et al.	—	—
	B29 4,520,812	06/1985	Freitag et al.	—	—
	B30 4,567,882	02/1986	Heller	—	—
	B31 4,632,108	12/1986	Geil	—	—
	B32 4,681,100	07/1987	Brychta et al.	—	—
	B33 4,580,371	04/1986	Akhavi	—	—
	B34 4,976,261	12/1990	Gluck et al.	—	—
<i>alj</i>	B35 5,054,423	10/1991	Escobal	—	—
	B36 5,143,062	01/1992	Foley	—	—

EXAMINER <i>Barbara Lenn</i>	DATE CONSIDERED <i>8/19/06</i>
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FORM PTO-1449	MAR 15 2004 OCT 2004 JCA	SERIAL NO. 10/607,246	CASE NO. 6298/432
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE June 25, 2003	GROUP ART UNIT 3743 - 3701
(use several sheets if necessary)		APPLICANT(S): G. Baran	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>a.j.s.</i>	B37	5,318,517	06/1994	Reiman		
	B38	5,544,648	08/1996	Fischer, Jr.		
	B39	5,565,241	10/1996	Mathias et al.		
	B40	6,119,954	09/2000	Kamath		
	B41	6,322,003	11/2001	Haruch		
<i>a.j.s.</i>	B42	6,526,976	03/2003	Baran		
	B43	6,595,202	07/2003	Ganan-Calvo		

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
<i>a.j.s.</i>	B44	Japanese Unexamined Utility Model Application Publication No. S46-21488, published July 24, 1971.
<i>a.j.s.</i>	B45	Japanese Unexamined Patent Application Publication No. S59-186563, published October 23, 1984

EXAMINER <i>Dareen Lien</i>	DATE CONSIDERED <i>8/19/04</i>
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE Herewith	GROUP ART UNIT <i>3743</i>
(use several sheets if necessary)		APPLICANT(S): George Baran	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>A.J.R.</i>	A1 829,952	09/04/1906	W. M. Dean	—	
	A2 852,154	04/30/1907	M. Bariffi	—	
	A3 2,638,096	05/12/1953	E. A. Waldhaus	—	
	A4 3,788,326	01/29/1974	Jacobs	—	
	A5 3,144,868	08/18/1964	M. E. Jascalevich	—	
	A6 4,270,530	6/1981	Baum et al.	—	
	A7 4,319,115	3/1982	Nakai et al.	—	
	A8 4,327,721	05/04/1982	Goldin et al.	—	
	A9 4,488,548	12/18/1984	Agdanowski	—	
	A10 4,584,998	4/1986	McGrail	—	
	A11 4,622,968	11/18/1986	Persson	—	
	A12 4,646,733	03/03/1987	Stroh et al.	—	
	A13 4,655,746	4/1987	Daniels et al.	—	
	A14 4,661,110	04/28/1987	Fortier et al.	—	
	A15 4,669,463	6/1987	McConnell	—	
	A16 4,690,138	09/01/1987	Heyden	—	
	A17 4,739,756	4/1988	Horn	—	
	A18 4,805,609	02/21/1989	Roberts et al.	—	
	A19 4,819,664	4/1989	Nazari	—	
	A20 4,821,714	4/1989	Smelser	—	
	A21 4,829,996	5/1989	Noakes et al.	—	
	A22 4,832,012	5/1989	Raabe et al.	—	
	A23 4,840,172	06/20/1989	Augustine et al.	—	
	A24 4,881,542	11/21/1989	Schmidt et al.	—	
	A25 4,886,055	12/12/1989	Hoppough	—	
	A26 4,945,929	8/1990	Egilmez	—	
	A27 4,955,375	9/1990	Martinez	—	
	A28 5,012,804	5/7/1991	Foley et al.	—	
	A29 5,025,806	6/1991	Palmer et al.	—	
	A30 5,029,580	07/09/1991	Radford et al.	—	
	A31 5,031,613	7/1991	Smith et al.	—	
<i>A.J.R.</i>	A32 5,049,137	9/1991	Thompson	—	
	A33 5,060,646	10/1991	Page	—	

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	APPLICANT(S): George Baran	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS
A.J.L.	A34	5,062,423	11/1991	Matson et al.
	A35	5,072,726	12/17/1991	Mazloomdoost et al.
	A36	5,078,131	1/1992	Foley
	A37	5,115,971	5/1992	Greenspan et al.
	A38	5,116,088	5/26/1992	Bird
	A39	5,119,807	06/09/1992	Roberts
	A40	5,125,893	6/1992	Dryden
	A41	5,146,916	9/1992	Catalani
	A42	5,152,277	10/06/1992	Honda et al.
	A43	5,158,536	10/1992	Sekins et al.
	A44	5,167,622	12/1992	Muto
	A45	5,178,138	01/12/1993	Walstrom et al.
	A46	5,186,166	2/1993	Riggs et al.
	A47	5,193,533	03/16/1993	Body et al.
	A48	5,197,463	03/30/1993	Jeshuran
	A49	5,207,220	5/1993	Long
	A50	5,217,005	06/08/1993	Weinstein
	A51	5,231,983	8/1993	Matson et al.
	A52	5,233,979	8/1993	Strickland
	A53	5,255,675	10/26/1993	Kolobow
	A54	5,261,892	11/16/1993	Bertaud et al.
	A55	5,285,778	02/15/1994	Mackin
	A56	5,287,847	02/22/1994	Piper et al.
	A57	5,287,849	2/1994	Piper et al.
	A58	5,287,850	02/22/1994	Haber et al.
	A59	5,291,882	3/1994	Makhoul et al.
	A60	5,313,939	05/24/1994	Gonzalez
	A61	5,329,921	07/19/1994	Socaris et al.
	A62	5,333,607	08/02/1994	Kee et al.
	A63	5,372,131	12/13/1994	Heinen Jr.
	A64	5,433,195	07/18/1995	Kee et al.
	A65	5,438,982	08/08/95	MacIntyre

EXAMINER <i>George J. Lamm</i>	DATE CONSIDERED <i>8/30/06</i>
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>adl</i>	A66 5,443,447	08/22/95	Kassis	—	
	A67 5,480,380	01/02/1996	Martin	—	
	A68 5,499,625	03/19/1996	Frass et al.	—	
	A69 5,515,844	05/14/1996	Christopher	—	
	A70 5,606,968	03/04/1997	Mang	—	
	A71 5,611,336	03/18/1997	Page et al.	—	
	A72 5,642,730	07/01/1997	Baran	—	
	A73 5,803,078	09/08/1998	Brauner	—	
	A74 5,964,223	10/12/1999	Baran	—	
	A75 6,079,413	06/27/2000	Baran	—	
	A76 6,082,361	07/04/2000	Morejon	—	
	A77 6,086,529	07/11/2000	Arndt	—	
	A78 6,102,042	08/15/2000	Hete et al.	—	
<i>adl</i>	A79 6,237,597 B1	05/29/2001	Kovac	—	
	A80 6,257,236 B1	07/10/2001	Dulkiewicz	—	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
<i>adl</i>	A81 0 180 038 A1	09/1985	Europe	—	
	A82 0 289 336 A2	2/1988	Europe	—	
	A83 0 445 502	09/1991	Europe	—	
	A84 0 453 234	10/1991	Europe	—	
	A85 0 487 155	05/1992	Europe	—	
	A86 0 587 380 A1	3/1994	Europe	—	
	A87 0587380	3/1994	Europe	—	
	A88 2 569 114	8/1984	France	—	
	A89 WO 87/05522	9/1987	PCT	—	
	A90 WO 89/05670	06/1989	PCT	—	
	A91 WO 90/07951	07/1990	PCT	—	
<i>adl</i>	A92 WO 93/17744	09/1993	PCT	—	
	A93 WO 95/30448	11/1995	PCT	—	

EXAMINER <i>Baran</i>	DATE CONSIDERED <i>8/19/06</i>
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
<i>A.J.L.</i>	A94	GB 2 098 485	05/1984	Great Britain	—	
<i>A.J.L.</i>	A95	GB 2 268 286	09/1992	Great Britain	—	
<i>A.J.L.</i>	A96	185442	08/1966	Russia (USSR)	—	
<i>A.J.L.</i>	A97	812296	03/1981	Russia (USSR)	—	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
<i>A.J.L.</i>	A98	Hoover et al., "A Microspray Nozzle for Local Administration of Liquids or Suspensions to Lung Airways via Bronchoscopy," <i>Journal of Aerosol Medicine</i> , vol. 6, #2, 1993.
	A99	Wheeldon et al., Aerosolized Endotoxin in Animals, (1992).
	A100	Carlton, Graziano C.; Barker, Robert L.; Benua, Richard S.; Guy, Yvonne G; Airway Humidification With High-Frequency Jet Ventilation, <i>Critical Care Medicine</i> , vol. 13, No. 2, (1985).
	A101	Aiache, Jean-Marc; The Ideal Drug Delivery System: A Look into the Future, <i>Journal of Aerosol Medicine</i> , vol. 4, No. 4, 1991.
	A102	Wheeldon, Walker, Murphy, and Turner; Aerosolized Endotoxin in Animals, (1992).
	A103	Jager-Walda, Reinhold; A Two-Phase-Flow Mechanical Spray Pump: A Possible Alternative To Propellant-Driven MDIs. <i>Journal of Biopharmaceutical Sciences</i> , 3(1/2), 077-084, (1992).
	A104	Niven, Ralph W.; Kacmarek, Robert M.; Brain, Joseph D.; Peterfreund, Robert A.; Small Bore Nozzle Extensions to Improve the Delivery Efficiency of Drugs from Metered Dose Inhalers: Laboratory Evaluation. <i>Am Rev Respir Dis</i> , vol. 147, pp. 1590-1594, 1993.
	A105	Taylor, Robert H.; Lerman, Jerrold; Chambers, Carole; Dolovich, Myrna; Dosing Efficiency and Particle-Size Characteristics of Pressurized Metered-Dose Inhaler Aerosols in Narrow Catheters. Presented at Annual Meeting American Society of Anesthesiologist, Las Vegas, Oct. 1990.
	A106	Hess, Dean; Inhaled Bronchodilators During Medical Ventilation: Delivery Techniques, Evaluation of Response, and Cost Effectiveness, <i>Respiratory Care</i> , vol. 39 No. 2, Feb. 1994.
	A107	Judson, Marc A.; Sahan, Steven A.; Mobilization of Secretions in ICU Patients, <i>Respiratory Care</i> , vol. 39 No. 3, Mar. 1994.
	A108	Copy of packaging for "ENDO-JET by Autovage", believed to have been published prior to June 14, 1994, 2 pages.
<i>A.J.L.</i>	A109	Copy of packaging for "EMT Emergency Medicine Tube", by Mallinckrodt Medical, dated January 1993, 4 pages.

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